



11-23-01

\$ Receipt

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,924	08/06/2001	Omar C. Baldonado	24717-706

CONFIRMATION NO. 9472

 021971  
 WILSON SONSINI GOODRICH & ROSATI  
 650 PAGE MILL ROAD  
 PALO ALTO, CA 943041050

FORMALITIES LETTER



\*OC000000006548275\*

Date Mailed: 09/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/20/2001 09:00:00 AM 09/23/2001

FILED UNDER 37 CFR 1.53(b)

 01 FD:200 37.00 CH  
 02 FD:200 25.00 CH  
 03 FD:200 42.00 CH  
 04 FD:200 10.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$116.
  - \$36 for 2 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 956.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);





Applicant's Docket No. 24717-706

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Omar C. Baldonado, et al.

Group: 2151

Serial No.: 09/923,924

Examiner: Unassigned

Filed: August 6, 2001

For: Method And Apparatus For Performance And Cost Optimization In An Internetwork

**BOX MISSING PARTS**

**Commissioner for Patents**

**Washington, D.C. 20231**

**TRANSMITTAL OF SUBSTITUTE DRAWING(S) TO CORRECT INFORMALITIES  
IN NOTICE TO FILE MISSING PARTS OF APPLICATION (37 CFR) 1.53(b)**

**SUBMISSION OF DRAWING(S)**

To correct the informalities in the drawings as noted in the objection(s) under 37 CFR 1.84 and in accordance with the Notice to File Missing Parts of Application mailed September 13, 2001, Applicants submit herewith formal drawing(s) for this application.

Number of sheets of drawings submitted: 6

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Paul Davis, Reg. No. 29,294

650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

**CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.8)**

"Express Mail" Mailing Label No. EL713755865US.

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the **Commissioner for Patents, Washington, D.C. 20231**.

Date: November 13, 2001

Donna L. Hengst  
Donna L. Hengst

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Omar C. Balonago et al.

Group No.: 2151

Serial No.: 09/923,924

Examiner: Unassigned

Filed: August 6, 2001

For: Method and apparatus for performance and cost optimization in an internet network

Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed September 13, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SUBSTITUTE DRAWINGS

- IV. Enclosed are Substitute Drawings in compliance with 37 CFR 1.84.

SMALL ENTITY STATUS

- V. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$18.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$42.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET.")	\$40.00
6. Total completion fees	\$535.00

REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in

Correct data

- |                                    |   |
|------------------------------------|---|
| 1. Applicant name and/or addresses | - Omar C. Balonago, Palo Alto, CA; Sean P. Finn, Belmont, CA; Mansour J. Karam, Mountain View, CA; Michael A. Lloyd, San Carlos, CA; Herbert S. Madan, Tiburon, CA; James G. McGuire, San Francisco, CA; Jose-Miguel Pulido Villaverde, Palo Alto, CA-- |
| 2. Priority Claim                  | - THIS APPLN CLAIMS BENEFIT OF 60/241,450 10/17/2001 AND CLAIMS BENEFIT OF 60/275,206 03/12/2001 AND CLAIMS BENEFIT OF 09/903,441 07/10/2001 AND CLAIMS BENEFIT OF 09/903,423 07/10/2001--.   |
| 3. Entity status                   | - Small   |

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VII. The total fee due is: Completion fees \$535.00, Corrected Filing Receipt Fees \$25.00.

TOTAL FEE DUE \$560.00

PAYMENT OF FEES

- VIII. Charge Account No. 23-2415 (24717-706) in the amount of \$560.00.

Please charge Account No. 23-2415 (24717-706) for any fees that may be due by this paper.

Paul Davis, Reg. No. 29,294

Wilson Sonsini Goodrich & Rosati  
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Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.8)

"Express Mail" Mailing Label No. EL713755863US

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Date: November 13, 2001

Donna L. Hengst  
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